



PTO/SB/08a  
Substitute for Form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number	10/593,119		
Filing Date	September 18, 2006		
First Named Inventor	Schulze		
Art Unit	1656		
Examiner Name	To be assigned		
Attorney Docket	7601/88256		
Sheet	1	of	2

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup>			
	A1	US 6,781,009 B2	08-24-2004	Wakita	
	A2	US 2003/0105347 A1	06-05-2003	Wakita, <i>et al.</i>	
	A3	US 2005/0272136 A1	12-08-2005	Itoh, <i>et al.</i>	
	A4	US 2006/0246561 A1	11-02-2006	Hummel, <i>et al.</i>	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>				
	B1	DE 42 09 022 A1	10-21-1993	Bayer AG		

Examiner Signature	/Tekchand Saidha/ (09/24/2009)	Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.S./

PTO/SB/08b Substitute for Form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	10/593,119
				Filing Date	September 18, 2006
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				Art Unit	1656
				Examiner Name	To be assigned
Sheet	2	of	2	Attorney Docket	7601/88256

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	International Search Report dated September 3, 2003 for PCT/EP03/03375.	
	C2	European Search Report dated September 13, 2007 for EP 07 10 8047.	
	C3	English Abstract for DE 42 09 022 A1 cited as B1 above. (1993)	
	C4	Database EMBL Online, Accession numbers Q9ZN85 and AB020760. (1995)	
	C5	HUMMEL, <i>et al.</i> , "Chiral Alcohols by Enantioselective Enzymatic Oxidation," <i>Annals New York Academy of Sciences</i> 799:713-716 (1996).	
	C6	ITOH, <i>et al.</i> , "Purification and Characterization of Phenylacetaldehyde Reductase from a Styrene-Assimilating <i>Corynebacterium</i> Strain, ST-10," <i>Appl. Environ. Microbiol.</i> 63(10): 3783-3788 (October 1997).	
	C7	ITOH, <i>et al.</i> , "Production of Chiral Alcohols by Enantioselective Reduction with NADH-Dependent Phenylacetaldehyde Reductase from <i>Corynebacterium</i> Strain, ST-10," <i>J. Mol. Catalysis B: Enzymatic</i> 6:41-50 (1999).	
	C8	REID, <i>et al.</i> , "Molecular Characterization of Microbial Alcohol Dehydrogenases," <i>Critical Reviews in Microbiology</i> 20(1):13-56 (1994).	
	C9	SCHENKELS, <i>et al.</i> , "Nicotinoprotein (NADH-Containing) Alcohol Dehydrogenase from <i>Rhodococcus erythropolis</i> DSM 1069: An Efficient Catalyst for Coenzyme-Independent Oxidation of a Broad Spectrum of Alcohols and the Interconversion of Alcohols and Aldehydes," <i>Microbiol.</i> 146:775-785 (2000).	

Examiner Signature	/Tekchand Saidha/ (09/24/2009)	Date Considered	
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